PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q93176

Tomoko SYOFUDA, et al.

Appln. No.: 10/571,268

Group Art Unit: 1645

Confirmation No.: 4770

Examiner: NOT YET ASSIGNED

Filed: March 9, 2006

For:

NOVEL NERVE STEM CELL MARKER

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits (whichever is later), and therefore no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/571,268

Attorney Docket No.: O93176

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the following explanations:

1. An English language abstract of WO 03/078631 submitted herewith constitutes a

concise statement of relevance of WO 03/078631. Furthermore, WO 03/078631 corresponds to

U.S. Patent Publication No. 2006/0057645.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: July 13, 2006

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Substitute for Form 1449 A & B/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

| Complete if Known | | | | | |
|------------------------|------------------|--|--|--|--|
| Application Number | 10/571,268 | | | | |
| Confirmation Number | 4770 | | | | |
| Filing Date | March 9, 2006 | | | | |
| First Named Inventor | Tomoko SYOFUDA | | | | |
| Art Unit | 1645 | | | | |
| Examiner Name | NOT YET ASSIGNED | | | | |
| Attorney Docket Number | Q93176 | | | | |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------|-----------------|-----------------------------------|------------------|--|
| Examiner Initials* | Cite No.1 | Document Number | | Publication Date | |
| | | Number | Kind Code ² (if known) | MM-DD-YYYY | Name of Patentee or Applicant of Cited Documen |
| | | US 2003/0028904 | Al | 02-06-2003 | Gumienny et al |
| | | US 2006/0057645 | A1 | 03-16-2006 | Niwa et al |
| | | US | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|---|------------------------------|--|-----------------------------------|------------------|---------------------|--|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date | Name of Patentee or | |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | MM-DD-YYYY | 1 | Translation ⁶ |
| | | wo | 03/078631 | Al | 09-25-2003 | KANEKA CORPORATION | Abstract and corresponds to U.S. Patent Publication No. 2006/0057645 |
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| NON PATENT LITERATURE DOCUMENTS | | | | |
|--|-----|---|--|--|
| Examiner Initials* Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | | | | |
| | | Database GenBank accession No. BC003051, July 12, NCBI Sequence Viewer, 2001 | | |
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| Evaminar Signatura | Date Considered | | |
| Examiner Signature | Date Considered | <u>.</u> | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.